

**U.S. District Court
Southern District of New York (Foley Square)
CRIMINAL DOCKET FOR CASE #: 1:20-cr-00330-AJN-1**

Case title: USA v. Maxwell

Date Filed: 06/29/2020

Movant**Jordana H. Feldman**

represented by **Patrick J. Smith**
Smith Villazor LLP
250 West 55th Street
30th Floor
New York, NY 10019
(212) 582-4400
Fax: (347) 338-2532
Email: patrick.smith@smithvillazor.com
LEAD ATTORNEY
ATTORNEY TO BE NOTICED
Designation: Retained

Assigned to: Judge Alison J.
Nathan

Appeals court case number:
21-0058 U.S. Court of Appeals,
2nd Circ.

Defendant (1)

Ghislaine Maxwell
also known as
Sealed Defendant 1

represented by **Christian R. Everdell**
Cohen & Gresser LLP
800 Third Avenue
New York, NY 10022
212-707-7268
Fax: 212-957-4514
Email: ceverdell@cohengresser.com
LEAD ATTORNEY
ATTORNEY TO BE NOTICED

Jeffrey S. Pagliuca
Haddon Morgan and Foreman
150 East 10th Avenue
Denver, CO 80203
(303)-831-7364
Fax: (303)-832-2628
Email: jpagliuca@hmflaw.com
LEAD ATTORNEY
ATTORNEY TO BE NOTICED

Laura A. Menninger
Haddon, Morgan and Foreman, P.C.
150 East Tenth Avenue
Denver, CO 80203
(303)-831-7364
Fax: (303)-832-2628
Email: lmenninger@hmflaw.com
LEAD ATTORNEY
ATTORNEY TO BE NOTICED

Mark Stewart Cohen
Cohen & Gresser, LLP (NYC)

800 Third Avenue
New York, NY 10022
(212) 957-7600
Fax: (212)957-4514
Email: mcohen@cohengresser.com
LEAD ATTORNEY
ATTORNEY TO BE NOTICED

Bobbi C Sternheim
Bobbi C. Sternheim, Esq.
225 Broadway
Suite 715
New York, NY 10007
212-243-1100
Fax: 888-587-4737
Email: bcsternheim@mac.com
ATTORNEY TO BE NOTICED

Pending Counts

18:371.F CONSPIRACY TO
ENTICE MINORS TO TRAVEL
TO ENGAGE IN ILLEGAL SEX
ACTS

(1)

18:371.F CONSPIRACY TO
ENTICE MINORS TO TRAVEL
TO ENGAGE IN ILLEGAL SEX
ACTS

(1s)

18:371.F CONSPIRACY TO
ENTICE MINORS TO TRAVEL
TO ENGAGE IN ILLEGAL SEX
ACTS

(1ss)

18:2422.F COERCION OR
ENTICEMENT OF A MINOR TO
TRAVEL TO ENGAGE IN
ILLEGAL SEX ACTS

(2)

18:2422.F COERCION OR
ENTICEMENT OF MINOR TO
ENGAGE IN ILLEGAL SEX
ACTS

(2s)

18:2422.F COERCION OR
ENTICEMENT OF FEMALE
(ENTICEMENT OF A MINOR
TO TRAVEL TO ENGAGE IN
ILLEGAL SEX ACTS)

(2ss)

18:371.F CONSPIRACY TO
TRANSPORT MINORS WITH
INTENT TO ENGAGE IN
CRIMINAL SEXUAL ACTIVITY

(3)

18:371.F 18:371.F CONSPIRACY
TO TRANSPORT MINORS WITH
INTENT TO ENGAGE IN
CRIMINAL SEXUAL ACTIVITY

(3s)

Disposition

18:371.F CONSPIRACY TO
TRANSPORT MINORS WITH
INTENT TO ENGAGE IN
CRIMINAL SEXUAL ACTIVITY
(3ss)

18:2423.F COERCION OR
ENTICEMENT OF MINOR
FEMALE (TRANSPORTATION
OF A MINOR WITH INTENT TO
ENGAGE IN CRIMINAL
SEXUAL ACTIVITY)
(4)

18:2423.F TRANSPORTATION
OF A MINOR WITH INTENT TO
ENGAGE IN CRIMINAL
SEXUAL ACTIVITY
(4s)

18:2423.F COERCION OR
ENTICEMENT OF MINOR
FEMALE (TRANSPORTATION
OF A MINOR WITH INTENT TO
ENGAGE IN CRIMINAL
SEXUAL ACTIVITY)
(4ss)

18:1623.F FALSE
DECLARATIONS BEFORE
GRAND JURY/COURT
(PERJURY)
(5-6)

18:1623.F FALSE
DECLARATIONS BEFORE
GRAND JURY/COURT
(5s-6s)

18:371.F SEX TRAFFICKING
CONSPIRACY
(5ss)

18:1591.F SEX TRAFFICKING
OF CHILDREN OR BY FORCE,
FRAUD OR COERCION
(6ss)

18:1623.F FALSE
DECLARATIONS BEFORE
GRAND JURY/COURT
(PERJURY)
(7ss-8ss)

Highest Offense Level (Opening)

Felony

Terminated Counts

None

Disposition

**Highest Offense Level
(Terminated)**

None

Complaints

Disposition

None

Interested Party

Reporters Committee for Freedom of the Press

represented by **Katielynn Boyd Townsend**
Reporters Committee For Freedom of The Press
1156 15th Street NW Suite 1020
Washington, DC 20005
202-795-9300
Fax: 202-795-9310
Email: ktownsend@rcfp.org
LEAD ATTORNEY
ATTORNEY TO BE NOTICED
Designation: Retained

Intervenor

Boies Schiller Flexner LLP
And the Victims it Represents

represented by **David Boies , II**
Boies, Schiller & Flexner LLP (Armonk)
333 Main Street
Armonk, NY 10504
(914)-749-8200
Fax: (914)-749-8300
Email: dboies@bsfllp.com
LEAD ATTORNEY
ATTORNEY TO BE NOTICED
Designation: Retained

Sigrid S. McCawley
Boies, Schiller & Flexner LLP
401 East Las Olas Boulevard, Suite 1200
Fort Lauderdale, FL 33301
954-356-0011
Fax: 954-356-0022
Email: smccawley@bsfllp.com
LEAD ATTORNEY
PRO HAC VICE
ATTORNEY TO BE NOTICED
Designation: Retained

Plaintiff

USA

represented by **Alex Rossmiller**
U.S. Attorney's Office, Southern District of New York
1 St. Andrew's Plaza
New York, NY 10007
(212)-637-2415
Email: alexander.rossmiller@usdoj.gov
LEAD ATTORNEY
PRO HAC VICE
ATTORNEY TO BE NOTICED
Designation: Assistant US Attorney

Alison Gainfort Moe
United States Attorney's Office, SDNY
One Saint Andrew's Plaza
New York, NY 10007
(212)-637-2225
Email: alison.moe@usdoj.gov
LEAD ATTORNEY

ATTORNEY TO BE NOTICED
Designation: Assistant US Attorney

Maurene Ryan Comey
United States Attorney's Office, SDNY
One Saint Andrew's Plaza
New York, NY 10007
(212)–637–2324
Email: maurene.comey@usdoj.gov
LEAD ATTORNEY
ATTORNEY TO BE NOTICED
Designation: Assistant US Attorney

Andrew Rohrbach
DOJ–USAO
1 St. Andrew's Plaza
New York, NY 10007
212–637–2345
Email: Andrew.Rohrbach@usdoj.gov
ATTORNEY TO BE NOTICED

Lara Elizabeth Pomerantz
United States Attorney's Office
One St. Andrew's Plaza
New York, NY 10007
212–637–2343
Fax: 212–637–2527
Email: Lara.Pomerantz@usdoj.gov
ATTORNEY TO BE NOTICED

Date Filed	#	Docket Text
12/10/2021	<u>536</u>	TRANSCRIPT of Proceedings as to Ghislaine Maxwell re: Conference held on 11/23/21 before Judge Alison J. Nathan. Court Reporter/Transcriber: Paula Speer, (212) 805–0300, Transcript may be viewed at the court public terminal or purchased through the Court Reporter/Transcriber before the deadline for Release of Transcript Restriction. After that date it may be obtained through PACER. Redaction Request due 1/3/2022. Redacted Transcript Deadline set for 1/10/2022. Release of Transcript Restriction set for 3/10/2022. (Moya, Goretti) (Entered: 12/10/2021)
12/10/2021	<u>537</u>	NOTICE OF FILING OF OFFICIAL TRANSCRIPT as to Ghislaine Maxwell. Notice is hereby given that an official transcript of a Conference proceeding held on 11/23/21 has been filed by the court reporter/transcriber in the above–captioned matter. The parties have seven (7) calendar days to file with the court a Notice of Intent to Request Redaction of this transcript. If no such Notice is filed, the transcript may be made remotely electronically available to the public without redaction after 90 calendar days.... (Moya, Goretti) (Entered: 12/10/2021)
12/10/2021		Minute Entry for proceedings held before Judge Alison J. Nathan: Jury Trial as to Ghislaine Maxwell held on 12/10/2021. Defendant Ghislaine Maxwell present with attorney Bobbi Sternheim, Jeff Pagliuca, Christian Everdell and Laura Menninger. AUSA Lara Pomerantz, Maurene Comey, Alison Moe and Andrew Rohrbach for the government. Court Reporters Martha Martin and George Malinowski Jury trial held. See Transcript. (jbo) (Entered: 12/20/2021)
12/11/2021	<u>538</u>	LETTER by USA as to Ghislaine Maxwell addressed to Judge Alison J. Nathan from AUSAs Maurene Comey, Alison Moe, Lara Pomerantz, and Andrew Rohrbach dated December 9, 2021 re: Limiting instruction for GX 52 Document filed by USA. (Rohrbach, Andrew) (Entered: 12/11/2021)
12/12/2021	<u>539</u>	LETTER MOTION addressed to Judge Alison J. Nathan from AUSAs Maurene Comey, Alison Moe, Lara Pomerantz, and Andrew Rohrbach dated December 12, 2021 re: Defense witness order . Document filed by USA as to Ghislaine Maxwell. (Rohrbach, Andrew) (Entered: 12/12/2021)

12/12/2021	<u>540</u>	LETTER by Ghislaine Maxwell addressed to Judge Alison J. Nathan from Bobbi C. Sternheim dated 12/12/2021 re: Order of Defense Witnesses (Sternheim, Bobbi) (Entered: 12/12/2021)
12/13/2021	<u>541</u>	LETTER by USA as to Ghislaine Maxwell addressed to Judge Alison J. Nathan from AUSAs Maurene Comey, Alison Moe, Lara Pomerantz, and Andrew Rohrbach dated December 13, 2021 re: Privilege stipulation Document filed by USA. (Rohrbach, Andrew) (Entered: 12/13/2021)
12/14/2021	<u>542</u>	ORDER as to Ghislaine Maxwell. The Court is in receipt of the Defense's letter dated December 13, 2021, regarding anticipated witnesses. The Government is ORDERED to submit a response no later than today, December 14, 2021, at 10:00 p.m. The Defense is FURTHER ORDERED to provide a copy of its anticipated witness order to the Court no later than today, December 14, 2021, at 12:00 p.m. SO ORDERED. (Signed by Judge Alison J. Nathan on 12/14/2021)(bw) (Entered: 12/14/2021)
12/14/2021	543	SEALED DOCUMENT placed in vault. (jus) (Entered: 12/14/2021)
12/14/2021	<u>544</u>	LETTER by Ghislaine Maxwell addressed to Judge Alison J. Nathan from Jeffrey S. Pagliuca dated December 13, 2021 re: Jack Scarola, Brad Edwards and Robert Glassman (Attachments: # <u>1</u> Exhibit 1 (Filed Under Seal))(Pagliuca, Jeffrey) (Entered: 12/15/2021)
12/15/2021	<u>545</u>	LETTER by USA as to Ghislaine Maxwell addressed to Judge Alison J. Nathan from AUSAs Maurene Comey, Alison Moe, Lara Pomerantz, and Andrew Rohrbach dated December 14, 2021 re: Testimony from Victim Counsel Document filed by USA. (Rohrbach, Andrew) (Entered: 12/15/2021)
12/15/2021	<u>546</u>	LETTER MOTION addressed to Judge Alison J. Nathan from AUSAs Maurene Comey, Alison Moe, Lara Pomerantz, and Andrew Rohrbach dated December 15, 2021 re: Proposed redactions to <i>Privilege Letters</i> . Document filed by USA as to Ghislaine Maxwell. (Rohrbach, Andrew) (Entered: 12/15/2021)
12/15/2021	<u>547</u>	LETTER by Ghislaine Maxwell addressed to Judge Alison J. Nathan from Bobbi C. Sternheim dated 12/15/2021 re: Opposition to restriction on expert testimony (Sternheim, Bobbi) (Entered: 12/15/2021)
12/15/2021	<u>548</u>	MEMORANDUM OPINION & ORDER as to Ghislaine Maxwell. The Defense on December 12, 2021, moved to permit three anticipated witnesses to testify under a pseudonym or their first names only. The Government filed a letter opposing this request on December 14, 2021. The Defense's primary contention is that some form of anonymity for its witnesses is justified by the same reasons that the Court permitted three alleged victims and two related government witnesses to testify under pseudonyms. The Court disagrees with this basic premise and denies the Defense's motion...[*** See this Memorandum Opinion & Order ***]... Last, the Court emphasizes that while it currently denies the Defense's motion, the Defense may of course raise, and the Court will consider, objections that particular lines of questioning into witnesses' backgrounds or lives are irrelevant, cumulative, intended to harass, or otherwise inappropriate. See, e.g., <i>Smith v. Illinois</i> , 390 U.S. 129, 133 (1968) (emphasizing that even when anonymity is not warranted, the court has a duty to protect a witness "from questions which go beyond the bounds of proper cross-examination merely to harass, annoy or humiliate him"). SO ORDERED. (Signed by Judge Alison J. Nathan on 12/15/2021)(bw) (Entered: 12/16/2021)
12/16/2021	<u>551</u>	ORDER as to Ghislaine Maxwell: The Court is in receipt of the parties' joint letter regarding the admission of prior inconsistent statements. The parties' letter notes "that many of these statements raise one of two questions: (1) must counsel show the witness the prior inconsistent statement under Rule 613(b), and (2) is a statement admissible when the witness affirms that the 3500 material contains the inconsistent statement and also expresses disagreement with the substance." The parties are hereby ORDERED to submit a letter citing authority (beyond the rule itself) for their respective positions. The parties are FURTHER ORDERED to indicate each disputed prior inconsistent statement that was read into the record. Letters must be submitted as soon as possible but no later than today, December 16, 2021, at 10:15 p.m. The parties are FURTHER ORDERED to docket their respective letters by tomorrow, December 17, 2021, at 8:00 a.m. (Signed by Judge Alison J. Nathan on 12/16/2021) (ap) Modified on 12/17/2021 (ap). (Entered: 12/17/2021)

12/16/2021	<u>552</u>	ORDER as to Ghislaine Maxwell: The Court denies the motion for the remaining witnesses the Government seeks to preclude. (Signed by Judge Alison J. Nathan on 12/16/2021) (ap) (Entered: 12/17/2021)
12/16/2021		Minute Entry for proceedings held before Judge Alison J. Nathan: Jury Trial as to Ghislaine Maxwell held on 12/16/2021. Defendant Ghislaine Maxwell present with attorney Bobbi Sternheim, Jeff Pagliuca, Christian Everdell and Laura Menninger. AUSA Lara Pomerantz, Maurene Comey, Alison Moe and Andrew Rohrbach for the government. Court Reporters Martha Martin and George Malinowski Jury trial held. See Transcript. (jbo) (Entered: 12/20/2021)
12/17/2021	<u>549</u>	LETTER by USA as to Ghislaine Maxwell addressed to Judge Alison J. Nathan from AUSAs Maurene Comey, Alison Moe, Lara Pomerantz, and Andrew Rohrbach dated December 17, 2021 re: Law enforcement witness testimony Document filed by USA. (Attachments: # <u>1</u> Exhibit A)(Rohrbach, Andrew) (Entered: 12/17/2021)
12/17/2021	<u>550</u>	LETTER by USA as to Ghislaine Maxwell addressed to Judge Alison J. Nathan from AUSAs Maurene Comey, Alison Moe, Lara Pomerantz, and Andrew Rohrbach dated December 16, 2021 re: Prior inconsistent statements Document filed by USA. (Rohrbach, Andrew) (Entered: 12/17/2021)
12/17/2021	<u>553</u>	LETTER by Ghislaine Maxwell addressed to Judge Alison J. Nathan from Jeffrey S. Pagliuca dated December 16, 2021 re: Prior Inconsistent Statements (Pagliuca, Jeffrey) (Entered: 12/17/2021)
12/17/2021	<u>562</u>	ORDER as to Ghislaine Maxwell. Attached is the draft jury charge and draft verdict sheet. Counsel shall be prepared to provide at the charging conference tomorrow, December 18, 2021, specific suggestions (with supporting authority if available) for any requested changes (Signed by Judge Alison J. Nathan on 12/17/21)(jw) (Entered: 12/20/2021)
12/17/2021		Minute Entry for proceedings held before Judge Alison J. Nathan: Jury Trial as to Ghislaine Maxwell held on 12/17/2021. Defendant Ghislaine Maxwell present with attorney Bobbi Sternheim, Jeff Pagliuca, Christian Everdell and Laura Menninger. AUSA Lara Pomerantz, Maurene Comey, Alison Moe and Andrew Rohrbach for the government. Court Reporters Martha Martin and George Malinowski Jury trial held. See Transcript. (jbo) (Entered: 12/20/2021)
12/18/2021	<u>554</u>	LETTER by USA as to Ghislaine Maxwell addressed to Judge Alison J. Nathan from AUSAs Maurene Comey, Alison Moe, Lara Pomerantz, and Andrew Rohrbach dated December 18, 2021 re: Jury instructions Document filed by USA. (Rohrbach, Andrew) (Entered: 12/18/2021)
12/18/2021	<u>555</u>	LETTER by Ghislaine Maxwell addressed to Judge Alison J. Nathan from Jeffrey S. Pagliuca dated December 15, 2021 re: Ms. Maxwell's Response to Government's Motion to Preclude Certain Testimony by Alexander Hamilton (Pagliuca, Jeffrey) (Entered: 12/18/2021)
12/18/2021	<u>563</u>	ORDER as to Ghislaine Maxwell. The charging conference for this matter was held today, December 18, 2021. Attached to this Order are redline and clean copies of the draft jury charge and draft verdict form that incorporate all changes discussed at the conference, or by the Government's subsequent letter, Dkt. No. 554, and adopted by the Court. The Court also edited "a minor" to be "an individual under the age of 18" on page 38, line 19, and deleted "or foreign" on page 28, line 5, as consistent with the agreed upon edits at conference. No later than tomorrow, December 19, 2021, at 12:00 p.m., the parties may inform the Court in writing of any errors that were the result of the Court's inputting the changes from today's charging conference. (Signed by Judge Alison J. Nathan on 12/18/21)(jw) (Entered: 12/20/2021)
12/18/2021		Minute Entry for proceedings held before Judge Alison J. Nathan: Jury Trial as to Ghislaine Maxwell held on 12/18/2021. Defendant Ghislaine Maxwell present with attorney Bobbi Sternheim, Jeff Pagliuca, Christian Everdell and Laura Menninger. AUSA Lara Pomerantz, Maurene Comey, Alison Moe and Andrew Rohrbach for the government. Court Reporters Khris Sellin and Paula Speers. Jury trial held. See Transcript. (jbo) (Entered: 12/22/2021)
12/19/2021	<u>556</u>	LETTER by USA as to Ghislaine Maxwell addressed to Judge Alison J. Nathan from AUSAs Maurene Comey, Alison Moe, Lara Pomerantz, and Andrew Rohrbach dated

		December 19, 2021 re: Jury charge Document filed by USA. (Rohrbach, Andrew) (Entered: 12/19/2021)
12/19/2021	<u>557</u>	LETTER by Ghislaine Maxwell addressed to Judge Alison J. Nathan from Bobbi C. Sternheim dated 12/19/2021 re: Letter re: Jury Charge (Sternheim, Bobbi) (Entered: 12/19/2021)
12/19/2021	<u>558</u>	JOINT LETTER by USA as to Ghislaine Maxwell addressed to Judge Alison J. Nathan from AUSAs Maurene Comey, Alison Moe, Lara Pomerantz, and Andrew Rohrbach dated December 19, 2021 re: Closing logistics Document filed by USA. (Rohrbach, Andrew) (Entered: 12/19/2021)
12/19/2021	<u>559</u>	LETTER MOTION addressed to Judge Alison J. Nathan from AUSAs Maurene Comey, Alison Moe, Lara Pomerantz, and Andrew Rohrbach dated December 19, 2021 re: Redacted exhibits . Document filed by USA as to Ghislaine Maxwell. (Rohrbach, Andrew) (Entered: 12/19/2021)
12/19/2021	<u>560</u>	MEMO ENDORSEMENT as to Ghislaine Maxwell on re: <u>558</u> The parties respectfully submit this letter regarding the logistics for public access for closing arguments. The parties propose that they will release a public version of their slides (to the extent they do not contain sealed materials) following the conclusion of the trial day on the same basis that they have been releasing public exhibits during trial....ENDORSEMENT...The parties previously indicated to the Court that they did not intend to make the visuals of the closing argument presentations public. The Court accordingly ordered the parties to confer on a proposal so as to ensure public access. For the reasons provided by the parties, the Court adopts the parties' proposal. Versions of the closing argument visual presentations with narrowly tailored redactions (e.g., redactions to protect the anonymity of witnesses the Court has permitted to testify under pseudonyms) shall be made available to the public within two hours of the completion of closing arguments. SO ORDERED. (Signed by Judge Alison J. Nathan on 12/19/2021)(jw) (Entered: 12/20/2021)
12/19/2021	<u>561</u>	MEMO ENDORSEMENT <u>559</u> LETTER MOTION addressed to Judge Alison J. Nathan from AUSAs Maurene Comey, Alison Moe, Lara Pomerantz, and Andrew Rohrbach dated December 19, 2021 re: Redacted exhibits...ENDORSEMENT...SO ORDERED (Signed by Judge Alison J. Nathan on 12/19/21) (jw) (Entered: 12/20/2021)
12/19/2021	<u>564</u>	ORDER as to Ghislaine Maxwell. The parties are hereby ORDERED by 9:00 a.m. tomorrow, December 20, 2021, to docket or otherwise make publicly available all admitted exhibits. The parties shall confer on and propose narrowly tailored redactions for any exhibits admitted temporarily under seal. SO ORDERED. (Signed by Judge Alison J. Nathan on 12/19/21)(jw) (Entered: 12/20/2021)
12/19/2021	<u>565</u>	ORDER as to Ghislaine Maxwell. Attached to this Order are the final versions of the jury charge and verdict form. Per the parties' letters, the Court adopted the Government's proposed edit to Instruction No. 19. See Dkt. Nos. 556, 557. (Signed by Judge Alison J. Nathan on 12/19/21)(jw) (Entered: 12/20/2021)
12/20/2021		Minute Entry for proceedings held before Judge Alison J. Nathan: Jury Trial as to Ghislaine Maxwell held on 12/20/2021. Defendant Ghislaine Maxwell present with attorney Bobbi Sternheim, Jeff Pagliuca, Christian Everdell and Laura Menninger. AUSA Lara Pomerantz, Maurene Comey, Alison Moe and Andrew Rohrbach for the government. Court Reporters Martha Martin and George Malinowski. Jury trial held. See Transcript. (jbo) (Entered: 12/22/2021)
12/21/2021		Minute Entry for proceedings held before Judge Alison J. Nathan: Jury Trial as to Ghislaine Maxwell held on 12/21/2021. Defendant Ghislaine Maxwell present with attorney Bobbi Sternheim, Jeff Pagliuca, Christian Everdell and Laura Menninger. AUSA Lara Pomerantz, Maurene Comey, Alison Moe and Andrew Rohrbach for the government. Court Reporter Martha Martin. Jury trial held. See Transcript. (jbo) (Entered: 12/22/2021)
12/28/2021	<u>566</u>	LETTER by Ghislaine Maxwell addressed to Judge Alison J. Nathan from Christian R. Everdell dated December 27, 2021 re: Supplemental Instruction (Everdell, Christian) (Entered: 12/28/2021)